

# Nuclear Energy Density Functional from Chiral Two- and Three-Nucleon Interactions

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- Introduction: nuclear energy density functional
- Tool: (improved) density-matrix expansion
- Chiral two- and three-nucleon interactions
- Diagrammatic calculation of energy density functional
- Results for isospin-symmetric nuclear systems
- Isovector part of nuclear energy density functional
- Challenge: Consistent 2nd order calculation

Publications:

J. Holt, N. Kaiser, W. Weise, Eur. Phys. J. A47 (2011) 128; Eur. Phys. J. A48 (2012) 36.



- **Nuclear energy density functional**: many-body method for calculat. of medium-mass and heavy nuclei  $\rightarrow$  self-consistent mean-field approx.
- Non-relativistic (parametrized) Skyrme functionals and relativistic mean-field models are widely and successfully used
- RMF: Lorentz scalar and vector mean-fields generate nuclear spin-orbit interact. (S+V fields originate from short-range NN spin-orbit, Fuchs et al.)
- Complementary approach: constrain form of a predictive energy density functional and its couplings by many-body perturbation theory and the underlying two- and three-nucleon interactions
- Switch from hard-core NN-potentials to low-momentum interactions: with  $V_{low-k}$  nuclear many-body problem becomes more perturbative
- Non-local Fock contributions to energy: approximate them by functionals expressed in terms of local densities and currents only
- Key ingredient: **Density-matrix expansion**  
Negele and Vautherin, Phys. Rev. C5 (1972) 1472
- Gebremariam, Bogner, Duguet, Nucl. Phys. A851 (2011) 17: used  $N^2LO$  chiral NN-potential + Skyrme  $\rightarrow$  got small but systematic reduction of  $\chi^2$
- Here: Improved chiral NN-potential at  $N^3LO$  + lead. chiral 3N-interaction

- Improved density-matrix expansion via phase-space averaging:  
Gebremariam, Duguet and Bogner, Phys. Rev. C82 (2010) 14305

$$\sum_{\alpha} \Psi_{\alpha} \left( \vec{r} - \frac{\vec{a}}{2} \right) \Psi_{\alpha}^{\dagger} \left( \vec{r} + \frac{\vec{a}}{2} \right) = \frac{3\rho}{ak_f} j_1(ak_f) - \frac{a}{2k_f} j_1(ak_f) \left[ \tau - \frac{3}{5} \rho k_f^2 - \frac{1}{4} \vec{\nabla}^2 \rho \right] + \frac{3i}{2ak_f} j_1(ak_f) \vec{\sigma} \cdot (\vec{a} \times \vec{J}) + \dots$$

$\rho = 2k_f^3/3\pi^2$  local nucleon density,  $\vec{J} = \sum_{\alpha} \Psi_{\alpha}^{\dagger} i \vec{\sigma} \times \vec{\nabla} \Psi_{\alpha}$  spin-orbit density

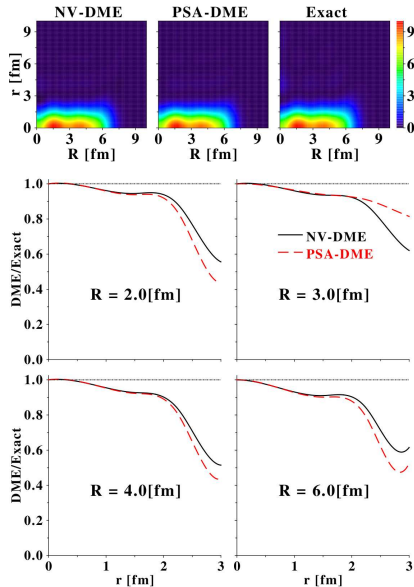
- Few % accuracy for Fock contrib. from central and tensor interactions
- Spin-dependent part ( $\vec{a} \times \vec{J}$ ) of Negele-Vautherin DME makes 50% error
- Fourier-transform: "medium insertion" for inhomogenous nuclear system

$$\Gamma(\vec{p}, \vec{q}) = \int d^3r e^{-i\vec{q}\cdot\vec{r}} \left\{ \theta(k_f - |\vec{p}|) + \frac{\pi^2}{4k_f^4} \left[ k_f \delta'(k_f - |\vec{p}|) - 2\delta(k_f - |\vec{p}|) \right] \right. \\ \left. \times \left( \tau - \frac{3}{5} \rho k_f^2 - \frac{1}{4} \vec{\nabla}^2 \rho \right) - \frac{3\pi^2}{4k_f^4} \delta(k_f - |\vec{p}|) \vec{\sigma} \cdot (\vec{p} \times \vec{J}) \right\}$$

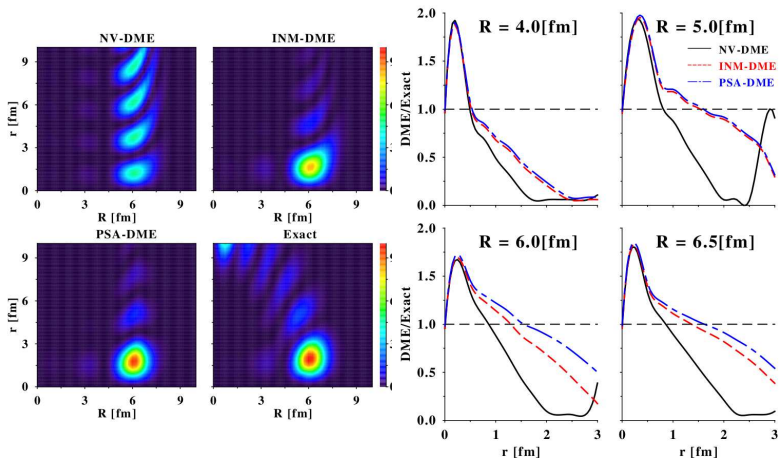
generalizes step-function  $\theta(k_f - |\vec{p}|)$  for infinite nuclear matter



- Comparison of density-matrix expansions: schematic central interaction



- Comparison of density-matrix expansions: tensor interaction



INM: quadrupolar deformation of local Fermi-moment. distribution neglected

- Energy density functional for  $N = Z$  even-even nuclei:

$$\mathcal{E}[\rho, \tau, \vec{J}] = \rho \bar{E}(\rho) + \left[ \tau - \frac{3}{5} \rho k_f^2 \right] \left[ \frac{1}{2M} - \frac{k_f^2}{4M^3} + F_\tau(\rho) \right] \\ + (\vec{\nabla} \rho)^2 F_\nabla(\rho) + \vec{\nabla} \rho \cdot \vec{J} F_{so}(\rho) + \vec{J}^2 F_J(\rho)$$

effective nucleon mass  $M^*(\rho)$ , surface term, spin-orbit coupling,  $\vec{J}^2$  term

- Relation to slope of single-particle potential at Fermi surface:

$$F_\tau(\rho) = \frac{1}{2k_f} \left. \frac{\partial U(\rho, k_f)}{\partial \rho} \right|_{\rho=k_f} = -\frac{k_f}{3\pi^2} f_1(k_f)$$

i.e. same effective nucleon mass  $M^*(\rho)$  as in Fermi-liquid theory

- Decomposition: for  $F_d(\rho)$ , factor  $(\vec{\nabla} \rho)^2$  emerges directly from interaction

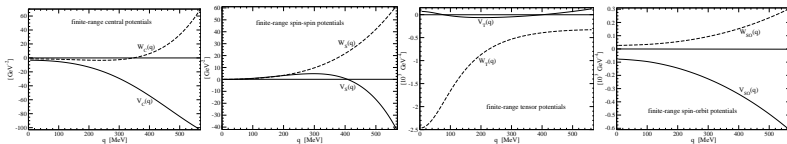
$$F_\nabla(\rho) = \frac{1}{4} \frac{\partial F_\tau(\rho)}{\partial \rho} + F_d(\rho)$$

- For zero-range Skyrme force: improved density-matrix expansion and Negele-Vautherin DME give identical results (quadratic  $\rho$ -dependence)
- Differences expected for long-range  $1\pi$ - and  $2\pi$ -exchange interaction

- Preferred 2-body interact.: universal low-momentum NN-potential  $V_{\text{low}-k}$
- Partial wave matrix elements, explicit spin-isospin operators better suited
- Easier tractable substitute for  $V_{\text{low}-k}$ : Chiral  $N^3\text{LOW}$  potential,  $\Lambda = 414\text{ MeV}$
- Finite-range part of  $N^3\text{LOW}$ : one- and two-pion exchange of the form

$$\begin{aligned}
 V_{NN}^{(\pi)} = & V_C(q) + \vec{\tau}_1 \cdot \vec{\tau}_2 W_C(q) + [V_S(q) + \vec{\tau}_1 \cdot \vec{\tau}_2 W_S(q)] \vec{\sigma}_1 \cdot \vec{\sigma}_2 \\
 & + [V_T(q) + \vec{\tau}_1 \cdot \vec{\tau}_2 W_T(q)] \vec{\sigma}_1 \cdot \vec{q} \vec{\sigma}_2 \cdot \vec{q} \\
 & + [V_{SO}(q) + \vec{\tau}_1 \cdot \vec{\tau}_2 W_{SO}(q)] i(\vec{\sigma}_1 + \vec{\sigma}_2) \cdot (\vec{q} \times \vec{p}),
 \end{aligned}$$

- dependence only on momentum transfer  $q$ , no quadratic spin-orbit comp.



- Short-range part: 24 contact terms up 4th power of momenta,  $C_{ST}, C_j, D_j$  determined in fits to NN-phase shifts and deuteron ( $\rightarrow$  Machleidt's code)



- Finite-range pieces: Hartree-Fock, employing  $\Gamma(\vec{p}_1, \vec{q}) \Gamma(\vec{p}_2, -\vec{q})$

$$\bar{E}(\rho) = \frac{\rho}{2} V_C(0) - \frac{3\rho}{2} \int_0^1 dx x^2 (1-x)^2 (2+x) [V_C(q) + 3V_S(q) + q^2 V_T(q) + \dots]$$

$$F_\tau(\rho) = \frac{k_f}{2\pi^2} \int_0^1 dx (x - 2x^3) [V_C(q) + 3V_S(q) + q^2 V_T(q) + 3W_{comb}(q)]$$

$$F_d(\rho) = \frac{1}{4} V_C''(0)$$

$$F_{so}(\rho) = \frac{1}{2} V_{SO}(0) + \int_0^1 dx x^3 [V_{SO}(2xk_f) + 3W_{SO}(2xk_f)]$$

$$F_J(\rho) = \frac{3}{8k_f^2} \int_0^1 dx \left\{ (2x^3 - x) [V_C(q) - V_S(q)] - x^3 q^2 V_T(q) + 3W_{comb}(q) \right\}$$

- Short-range pieces:

$$\bar{E}(\rho) = \frac{3\rho}{8} (C_S - C_T) + \frac{3\rho k_f^2}{20} (C_2 - C_1 - 3C_3 - C_6) + \frac{9\rho k_f^4}{140} (D_2 - 4D_1 + \dots)$$

$$F_\tau(\rho) = \frac{\rho}{4} (C_2 - C_1 - 3C_3 - C_6) + \frac{\rho k_f^2}{4} (D_2 - 4D_1 - 12D_5 - 4D_{11})$$

$$F_d(\rho) = \frac{1}{32} (16C_1 - C_2 - 3C_4 - C_7) + \frac{k_f^2}{48} (9D_3 + 6D_4 - 9D_7 - 6D_8 + \dots)$$

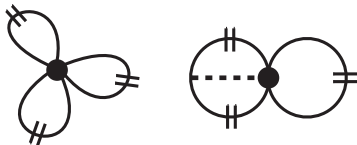
$$F_{so}(\rho) = \frac{3}{8} C_5 + \frac{k_f^2}{6} (2D_9 + D_{10})$$





# Three-body contributions at 1st order

- Leading order chiral 3N-interaction: contact +  $1\pi$ -exchange +  $2\pi$ -exch.
- LECs  $c_E = -0.625$ ,  $c_D = 2.06$  fitted to binding energies of  ${}^3\text{H}$  and  ${}^4\text{He}$
- 3-body correlations in inhomogeneous nuclear many-body systems:  
factorized density-matrices in  $p$ -space  $\Gamma(\vec{p}_1, \vec{q}_1) \Gamma(\vec{p}_2, \vec{q}_2) \Gamma(\vec{p}_3, -\vec{q}_1 - \vec{q}_2)$



- $c_E$ - and  $c_D$ -terms:

$$\bar{E}(\rho) = -\frac{c_E k_f^6}{12\pi^4 f_\pi^4 \Lambda_\chi}$$

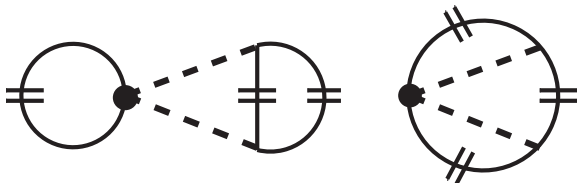
$$\bar{E}(\rho) = \frac{g_A c_D m_\pi^6}{(2\pi f_\pi)^4 \Lambda_\chi} \left\{ \frac{u^6}{3} - \frac{3u^4}{4} + \frac{u^2}{8} + u^3 \arctan 2u - \frac{1 + 12u^2}{32} \ln(1 + 4u^2) \right\}$$

$$F_\tau(\rho) = \frac{2g_A c_D m_\pi^4}{(4\pi f_\pi)^4 \Lambda_\chi} \left\{ (1 + 2u^2) \ln(1 + 4u^2) - 4u^2 \right\}$$

$$F_d(\rho) = \frac{g_A c_D m_\pi}{(4f_\pi)^4 \pi^2 \Lambda_\chi} \left\{ \frac{1}{2u} \ln(1 + 4u^2) - \frac{2u}{1 + 4u^2} \right\}$$

$$F_J(\rho) = \frac{3g_A c_D m_\pi}{(4f_\pi)^4 \pi^2 \Lambda_\chi} \left\{ 2u - \frac{1}{u} + \frac{1}{4u^3} \ln(1 + 4u^2) \right\}, \quad u = \frac{k_f}{m_\pi}$$





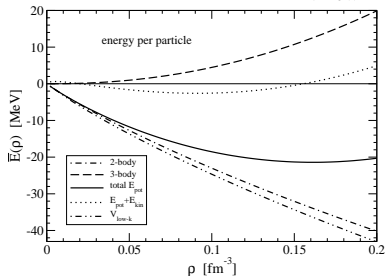
- $2\pi$ -exchange Hartree diagram prop. to  $c_1 = -0.76$ ,  $c_3 = -4.78$  ( $\text{GeV}^{-1}$ )

$$\begin{aligned} \bar{E}(\rho) &= \frac{g_A^2 m_\pi^6}{(2\pi f_\pi)^4} \left\{ (12c_1 - 10c_3)u^3 \arctan 2u - \frac{4}{3}c_3 u^6 + 6(c_3 - c_1)u^4 \right. \\ &\quad \left. + (3c_1 - 2c_3)u^2 + \left[ \frac{1}{4}(2c_3 - 3c_1) + \frac{3u^2}{2}(3c_3 - 4c_1) \right] \ln(1 + 4u^2) \right\} \\ F_{\text{so}}(\rho) &= \frac{3g_A^2 m_\pi}{(8\pi)^2 f_\pi^4} \left\{ \frac{2}{u}(4c_1 - 3c_3) - 4c_3 u \right. \\ &\quad \left. + \left[ \frac{4}{u}(c_3 - c_1) + \frac{3c_3 - 4c_1}{2u^3} \right] \ln(1 + 4u^2) \right\}, \quad u = \frac{k_f}{m_\pi} \end{aligned}$$

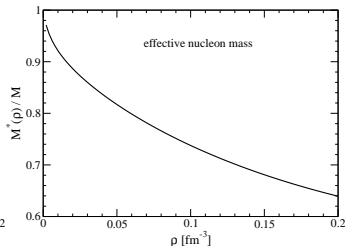
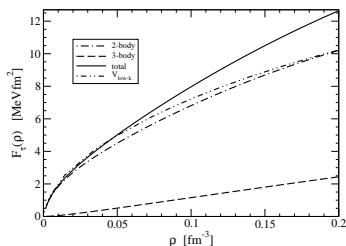
- 3-body spin-orbit coupling originally suggested by Fujita and Miyazawa
- More tedious to evaluate:  $2\pi$ -exchange Fock diagram,  $c_4 = 3.96 \text{ GeV}^{-1}$



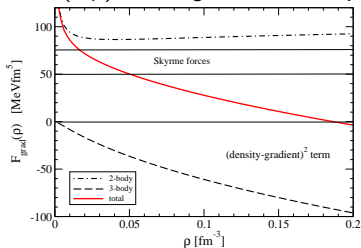
- Energy per particle: for 2-body part  $V_{\text{low-k}} \simeq V_{N^3\text{LOW}}$



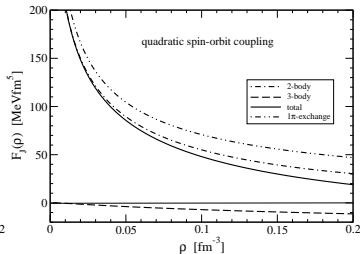
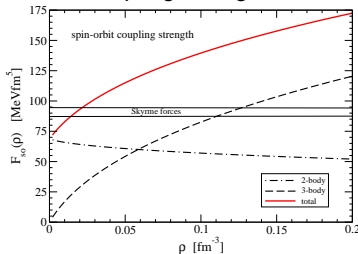
- Improved description: treat 2-body interaction to second order etc.
- Effective nucleon mass  $M^*(\rho_0)$ : in phenomenological reasonable range



- Strength of surface term  $(\vec{\nabla}\rho)^2$ : fair agreement with phen. Skyrme forces



- Spin-orbit coupling strength



- 2-body contrib. mainly of short-range origin, sizeable 3-body spin-orbit  $C_3$
- Expect reduction by second order  $\pi$ -exchange tensor interaction



# Isvector part of nuclear energy density functional

- Isvector terms pertaining to different proton and neutron densities: relevant for long chains of stable isotopes and nuclei far from stability
- Up to second order in proton-neutron differences and spatial gradients

$$\begin{aligned}\mathcal{E}_{\text{iv}}[\rho_p, \rho_n, \tau_p, \tau_n, \vec{J}_p, \vec{J}_n] = & \frac{1}{\rho}(\rho_p - \rho_n)^2 \tilde{A}(\rho) + \frac{1}{\rho}(\tau_p - \tau_n)(\rho_p - \rho_n) \mathbf{G}_\tau(\rho) \\ & + (\vec{\nabla}\rho_p - \vec{\nabla}\rho_n)^2 \mathbf{G}_\nabla(\rho) + (\vec{\nabla}\rho_p - \vec{\nabla}\rho_n) \cdot (\vec{J}_p - \vec{J}_n) \mathbf{G}_{\text{so}}(\rho) + (\vec{J}_p - \vec{J}_n)^2 \mathbf{G}_J(\rho)\end{aligned}$$

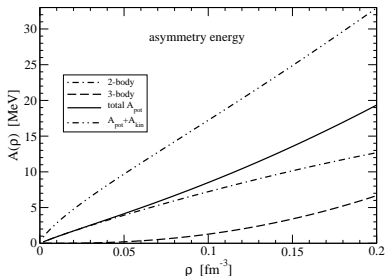
- $\tilde{A}(\rho)$  interacting part of nuclear matter asymmetry energy
- $\mathbf{G}_\tau(\rho)$  splits effective proton and neutron masses prop. to local  $\rho_p - \rho_n$
- $\mathbf{G}_\nabla(\rho)$  isovector surface term,  $\mathbf{G}_{\text{so}}(\rho)$  isovector spin-orbit coupl. strength
- Adapt density-matrix expansion to asym. situation:  $\rightarrow$  Fourier-transform

$$\begin{aligned}\Gamma_{\text{iv}}(\vec{p}, \vec{q}) = & \int d^3r e^{-i\vec{q}\cdot\vec{r}} \left\{ \frac{1 + \tau_3}{2} \theta(k_p - |\vec{p}|) + \frac{1 - \tau_3}{2} \theta(k_n - |\vec{p}|) \right. \\ & + \frac{\pi^2}{4k_f^4} \left[ k_f \delta'(k_f - |\vec{p}|) - 2\delta(k_f - |\vec{p}|) \right] \left[ \tau_p - \tau_n - \left( k_f^2 + \frac{\vec{\nabla}^2}{4} \right) \right. \\ & \left. \left. \times (\rho_p - \rho_n) \right] \tau_3 - \frac{3\pi^2}{4k_f^4} \delta(k_f - |\vec{p}|) (\vec{\sigma} \times \vec{p}) \cdot (\vec{J}_p - \vec{J}_n) \tau_3 \right\}\end{aligned}$$

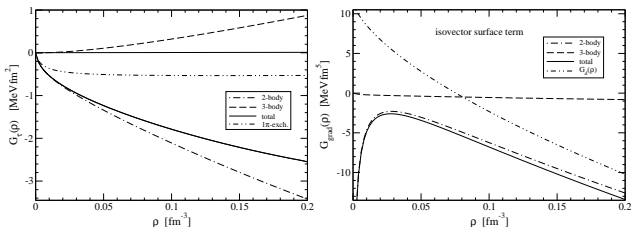
Fermi momenta:  $\rho_p = k_p^3/3\pi^2$ ,  $\rho_n = k_n^3/3\pi^2$ ,  $\rho = \rho_p + \rho_n = 2k_f^3/3\pi^2$



- Asymmetry energy:  $A(\rho_0) = 26.5$  MeV, empirical value  $(34 \pm 2)$  MeV

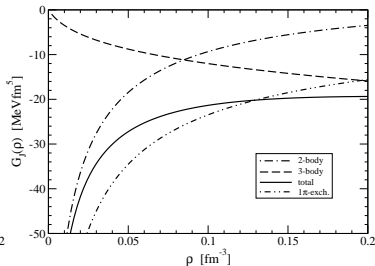
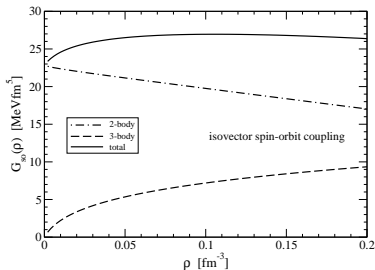


- Hartree-Fock approx. seems to work better for isovector quantities



- $|G_{\tau}(\rho)| \ll F_{\tau}(\rho)$ , from modern Sly forces  $G_{\nabla} = -(11 \pm 5) \text{ MeV fm}^5$

- Isvector spin-orbit coupling strength



- Small 3-body contrib., result close to Skyrme  $G_{so} = \frac{1}{3}F_{so} \simeq 30 \text{ MeVfm}^5$
- Isvector spin-orbit coupling in nuclei presently not well determined
- $G_J(\rho)$  and  $F_J(\rho)$  are dominated by  $1\pi$ -exchange, strong  $\rho$ -dependence

## Challenges:

- Consistent calc. of EDF to 2nd order in many-body perturbation theory
- Energy denominators of intermediate states  $\rightarrow$  further non-localities
- Generalized density-matrix expansion to 2nd order not yet formulated

